

BEST AVAILABLE COPY



PCT/GB 2004/002860



**PRIORITY
DOCUMENT**

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

The Patent Office

Concept House

Cardiff Road

Newport

South Wales D 22 JUL 2004

NP10 8QQ

WIPO

PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

I also certify that the attached copy of the request for grant of a Patent (Form 1/77) bears an amendment, effected by this office, following a request by the applicant and agreed to by the Comptroller-General.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated 12 July 2004

Stephen Hinchley

Patents Form 1/77

Patents Act 1977
(Rule 16)04JUL03 E820056-1 D02823
P01/7700 0.00-0315633.8

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

03 JUL 2003

The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference

NJH/MP6137525

2. Patent application number

(The Patent Office will fill in this part)

0315633.8

03 JUL 2003

3. Full name, address and postcode of the or of each applicant (underline all surnames)BRADDAHEAD LIMITED
UNIT 18, WATERSIDE COURT
ST HELENS TECHNOLOGY CAMPUSST HELENS
MERSEYSIDE WA9 1UADEACON PARK
MORTGATE ROAD
KNOWSLEY INDUSTRIAL PARK
LIVERPOOL
L33 7E3

Patents ADP number (if you know it)

8622482002

If the applicant is a corporate body, give the country/state of its incorporation

GB

4. Title of the invention

METHOD AND APPARATUS FOR COMPRESSING DIGITAL IMAGES

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

MEWBURN ELLIS
York House
23 Kingsway
London WC2B 6HP

Patents ADP number (if you know it)

109006

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

YES

- a) any applicant named in part 3 is not an inventor, or
 - b) there is an Inventor who is not named as an applicant, or
 - c) any named applicant is a corporate body.
- See note (d))

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form.
Do not count copies of the same document

Continuation sheets of this form	0
Description	16
Claim(s)	5
Abstract	0
Drawing(s)	5 + 5

CF

10. If you are also filing any of the following, state how many against each item.

Priority documents	0
Translations of priority documents	0
Statement of inventorship and right to grant of a patent (<i>Patents Form 7/77</i>)	0
Request for preliminary examination and search (<i>Patents Form 9/77</i>)	0
Request for substantive examination (<i>Patents Form 10/77</i>)	0
Any other documents (<i>please specify</i>)	0

11. I/We request the grant of a patent on the basis of this application.

Signature
Newton Ellis

Date
2 JULY 2003

12. Name and daytime telephone number of person to contact in the United Kingdom

NIGEL HACKNEY 0161 247 7722

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Method and Apparatus for Compressing Digital Images

The present invention relates to digital image compression systems. For example, the present invention 5 could be used as part of a CCTV security system, where recorded images need to be compressed to save storage space.

Known image compression systems, such as those utilised in the security industry, suffer accusations of 10 poor image quality in comparison to earlier analogue tape systems, due to the heavy compression techniques used to maximise storage media or use of available bandwidth over networks. In order to increase quality, two methods are known which reduce the amount of stored or transmitted 15 data.

The first method tries to reduce resource utilisation by only saving or transmitting parts of each compressed image that have changed from the last one collected.

20 Referring to Fig. 1, images from the camera 1 are passed to a digitiser, such as a video decoder 2, where the analogue data is sampled and converted into digital format. This data is then stored in the memory buffer 3. As each image enters the system the incoming image is 25 compared to the last image, or prior images, by

comparator 4. Any differences between the images are identified and this difference data is formatted to match the compression method being used, (for example, multiple 8 by 8 pixel blocks surrounding the required data for 5 JPEG), and then compressed as a subset of the full image, by the compression codec 5. Only this compressed subset is stored and/or transmitted; this can significantly reduce the amount of space required to store the image and/or bandwidth required to transmit it. Images are 10 reconstituted by taking regular "full" images and then adding the subset change data.

However, there are a number of problems associated with this method. Firstly, the final images are temporally distorted, i.e. they are constituted from 15 different images taken at different moments. Secondly, to maintain the integrity of the image, the subsets are compressed at the same level of compression (compression factor) and resolution as the rest of the image, therefore there is a single overall image quality.

20 Finally, this method relies on the accuracy of the change detection to ensure that all potentially valuable image areas are captured. This is often dealt with by ensuring maximum sensitivity to motion, which results in over production of data due to the capture of minor 25 insignificant and "false trigger" motion data.

The second method identifies areas of the image as being of more importance than others and tries to reproduce these at a better quality than the rest of the image.

- 5 Referring to Fig. 2, images from the camera 1 are passed to a digitiser, such as a video decoder 2, where the analogue data is sampled and converted into digital format. The image is then compressed ready for storage and/or transmission, by the codec 5. During compression, 10 predetermined areas (regions of interest) that have been identified previously by the user are compressed at a lower level of compression (i.e. less compression) than the rest of the image. The data for each possible input is held by the system software compression driver 6.
- 15 This generates images that when decompressed have areas that show less compression artefacts, and therefore can display more detail than the areas of lesser interest.

In this method, the complete image is provided for compression at the same image resolution as the 20 identified regions of interest, thus codec time is used for compressing all of the information from areas of the image that have already been identified as being of lesser importance. This method is less efficient than conditional refresh system outlined above because it

generates a relatively large amount of redundant information.

The present invention aims to ameliorate at least some of the above problems. The invention preferably 5 aims to provide a system which maximises the use of limited resources such as storage and/or transmission bandwidth while maintaining good image quality on predetermined areas or areas of the image selected by e.g. a trigger event or any other external stimulus. The 10 method of the invention may therefore be applied to still image compression systems and motion compensated systems.

At its most general, the present invention provides a method of compressing images at resolutions lower than the original image, and at higher levels of compression, 15 but compressing motion areas or regions of interest at higher resolutions and lower levels of compression. The method may be applied to any compression method.

Thus, according to one aspect of the present invention there is provided a method of compressing a 20 digital image stored at a first resolution in a memory, the image including a background region and a region of interest, the method including the steps of:

reading the background region from the memory at a second resolution, the second resolution being lower than 25 the first resolution;

reading the region of interest from the memory at a third resolution, the third resolution being greater than the second resolution;

compressing the background region and the region of
5 interest.

Preferably, compressing the background region and the region of interest includes:

compressing the background region using a first level of compression; and

10 compressing the region of interest using a second level of compression.

The resolution of an image refers to the detail that can be seen in the image, and is thus a measure of the amount of data in the image. Higher resolution images 15 take up more storage space (e.g. memory space); it is desirable that regions of lesser importance take up less space, hence they may be compressed at lower resolutions. If a region is less important, then it is a waste of compressor time to work on a high resolution form of that 20 region. The present invention saves compressing time by 'throwing away' data for less important regions by reducing the resolution. Thus, less data needs to be compressed for the less important regions. There are a number of ways of reducing the resolution of (i.e. 25 removing data from) a less important region. For

example, the region may be read at a smaller size than the equivalent region in the recorded image, i.e. the region provided for compression is physically smaller.

5 The original image may be e.g. 720 x 288 pixels, whereas e.g. the background region may be provided for compression as e.g. a 360 x 144 pixel image. Such an image may still appear to the eye as a decent representation, but significant detail from the larger image will not be there. In this case, the smaller image

10 contains only about a quarter of the data of the original image, so less time is required to compress it.

Alternatively, the background region may be read at less than one quarter of the size of the equivalent region in the recorded image.

15 Resolution changes differ from the act of compression in two ways. Firstly, data is indiscriminately removed when the resolution is reduced, i.e. valid information may be lost. The overall picture remains recognisable, however; indeed, interpolation may

20 be used to 'smooth' the image. In contrast, compression acts to remove data that is of little use, e.g. data that the eye cannot resolve as well as other data (e.g. high frequency information). Thus, images that are compressed at a low level of compression can appear identical to the

25 original image. The second difference between decimating

an image (i.e. reducing its resolution) and compressing an image is the final format of the image. When an image is decimated, it remains an image that can be seen, whereas when an image is compressed, the processing used means that it becomes a different format (e.g. JPEG) which requires further processing (decompression) before the image can be viewed.

Preferably, the third resolution is the same as the first resolution, i.e. the regions of interest are read at the same detail as they were stored; the region of interest is compressed at its original resolution.

Preferably, reading the background region from the memory includes the steps of:

reading the image from the memory at a second resolution,

masking the region of interest in the read image.

Preferably, the masking step includes blanking out the region of interest with data selected to produce the minimum amount of compressed data for that region. This may be achieved by blacking out the region of interest in the read image. The masking of the regions with black blanks reduces the size of the data from the background region when it is compressed. As mentioned above, the image may be read at a smaller size than the recorded image.

Preferably, the second level of compression is lower than the first level of compression, i.e. the region of interest may be compressed less than the background region (the region of lesser importance).

5 The region of interest may not be compressed at all; it may be stored at its original resolution.

The image is usually initially recorded as analogue data; the invention may include the step of converting this analogue data into a digital image prior to storing
10 it in the memory.

The invention also provides a method of decompressing an image compressed by the above-described method, the decompressing method including the steps of:

decompressing the region of interest;
15 decompressing the background region;
reading the decompressed background region and
region of interest at a fourth resolution;
merging the read decompressed region of interest
with the read decompressed background region.

20 Preferably, the method includes displaying a decompressed image.

Preferably, the fourth resolution is greater than the second resolution, so the background region is replicated or interpolated up to e.g. the original
25 dimensions. The decompressed region of interest is then

slotted into place, e.g. into the blanked out areas created by the compressing method.

The fourth resolution may be the same as the third resolution, i.e. the region of interest need not be
5 interpolated.

Preferably, the fourth resolution is the same as the first resolution, so the region of interest maintains its original detail.

The advantages of the above-described methods over
10 known systems include:

the region of interest being at a higher quality in both compression and resolution than the areas of lesser importance, whilst storage and bandwidth utilisation are not greatly increased over the conditional refresh
15 method;

processing and production of redundant information is minimised, because unwanted detail is removed in the reading step;

each image is temporally intact, and therefore even
20 though the quality may be lower in areas of lesser importance, no activity will be missed as the whole image is presented.

This invention thus presents a method that can maximise the use of limited resources, while still giving

the user the quality required to make image data useful, and maintaining temporal integrity.

According to a second aspect of the invention, there is provided apparatus for compressing a digital image stored at a first resolution in a memory, the image including a background region and a region of interest, the apparatus having:

10 a video compressor/decompressor (codec) for reading the background region and the region of interest from the memory at second and third resolutions respectively, and compressing the background region and the region of interest; and

processing means for controlling the video codec.

Preferably, the background region and the region of interest are compressed at first and second levels of compression respectively.

Preferably, the apparatus include input means for capturing the original image, e.g. the input means may be a CCTV camera.

20 The input means may capture the image as analogue data; the apparatus may include a digitiser to convert the analogue data to a digital image.

Preferably, the apparatus includes processing means for decompressing the compressed background region and

region of interest, reading them at a fourth resolution, and merging them to form a decompressed image.

Preferably, the apparatus has display means for showing the decompressed image.

5 The region of interest may be a predetermined area of a particular image (e.g. where any activity is likely to be important), or it may be a region determined by the triggering of a motion detector. For example, a video processor may allow a region of interest to be
10 automatically generated if the requirements of certain parameters are fulfilled.

The apparatus may contain means for setting the parameters used by the video processor in determining whether any regions of interest are present. The means
15 may form a learning system, wherein the means is arranged to note regions in which e.g. movement is usual, and regions in and/or times at which e.g. movement is unusual. If movement is recorded in the unusual period or region, the system may designate it a region of
20 interest.

Embodiments of the invention will now be described in detail with reference to the accompanying drawings, in which:

Fig. 1 is a block diagram representing a known
25 compression apparatus;

Fig. 2 is a block diagram representing a known compression apparatus;

Fig. 3 is a block diagram representing an image compression apparatus which is a first embodiment of the
5 present invention;

Fig. 4 is a block diagram representing an image compression apparatus which is a second embodiment of the invention; and

Fig. 5 is a schematic diagram showing the method of
10 the present invention.

In Fig. 3, images from camera 1 passed to a digitiser, such as video decoder 2, where the analogue data from the camera is sampled and converted into a digital image. The digital image is stored in memory
15 buffer 3. The image is then read by the video codec 5 at a lower resolution than the original image, e.g. less than one quarter of the size of the original. Thus the image has a smaller data size. Usually the image will be no less than one eighth of the size of the original
20 image, otherwise too much information will be lost.

Regions of interest in the lower resolution image will be blanked out using e.g. black spaces. This ensures that the minimum amount of space is required to store the compressed version. The codec 5 then compresses the
25 image at a predetermined level of compression e.g. into a

JPEG format. The decompressed background image is formed from this. The region of interest is sent e.g. at the original resolution to the video codec 5, where it is compressed at a predetermined level of compression that

5 may be lower than the level of compression for the background image. The compressing functions and sending of images to the codec 5 is controlled by the collection processor 7. In other words, collection processor 7 determines the resolution at which images are sent to the

10 codec 5 and the level of compressions by which they are compressed. It can be seen therefore that the background region of the image is treated in two different ways to the region of interest. Firstly, the resolution at which it is sent to the codec is reduced - this reduces the

15 amount of data in the region, so some data is removed prior to the compression step, thereby enabling compression to be much more efficient. Secondly, the level of compression at which the background reading is compressed may be higher than that for the region of

20 interest because it is already decided that the background region is less important. Any saving in space thus achieved could be used to improve the image quality of the region of interest, either through the level of compression at which it is compressed, or the resolution

25 at which the codec 5 receives it.

The compressed images may be recorded into a storage medium or decompressed for display.

The display processor 8 is used to control decompression of the stored or transmitted images. When 5 the image is decompressed, the lower resolution image is interpolated up to the same dimensions as the original image, and the decompressed region of interest can be 'slotted' into place. Fig. 5 shows the process in more detail. The image is displayed on display 9 after the 10 decompression and merging of the relevant regions.

Fig. 4 shows a second embodiment of the invention having more than one camera 1. Analogue switch 10 selects the camera to be used, and the video decoder 2 converts the signal from the camera 1 into a digital 15 image to be temporarily stored in the memory buffer 3. The capture controller 11 controls the reading and writing of video images to the memory buffer 3. It also controls the masking of the regions of interest and the separation of data to allow the two-stage compression to 20 proceed. This embodiment has two codecs 5 for allowing the background region and the region of interest to be compressed simultaneously. The designation of regions of interest by the capture controller 11 may be influenced by system parameters 12 set by system soft/firmware 13. 25 The soft/firmware 13 may allow users manually to set the

system parameters, e.g. a fixed area within a particular image, or it may allow the regions of interest to be automatically generated by using a video processor 14, which uses preset parameters to decide whether there are 5 any regions of interest within a particular image. Such a system facilitates the operation of a 'learning' system for defining the regions of interest intelligently, i.e. with a past knowledge of the system.

Fig. 5 shows schematically the steps involved in 10 compressing an image 20 captured at a first resolution e.g. by a camera. When read from e.g. a memory buffer, image 20 is split into a background image 22, which is smaller than the originally recorded image, and a region of interest 21, which is a part of the original image at 15 the same size as it. The part 23 equivalent to the region of interest in the background image is blacked out. Background image 22 looks similar to its larger original, but because it is smaller, it contains less data.

20 The background image 22 and region of interest 21 are compressed at level of compressions Q_1 and Q_2 respectively into e.g. JPEG format files 24. These files are decompressed into background image 26, which has been interpolated from an image the size of background image 22, and region of interest 25, which (depending on Q_2)

looks similar to the region of interest 21 prior to compression. The background image may not be of high quality due to Q₁ and the interpolation, but this does not matter because it has been designated as less important.

- 5 In any case, no part of the image is lost, it is just more difficult to resolve objects in the background.

Decompressed region of interest 25 is then slotted into the blanked out portion of decompressed background image 26 to form a final decompressed image 27, which is
10 a mixture of well defined regions of interest together with less clear (but still visible) background objects.
All parts of the final decompressed image 27 come from the originally recorded image 20, so the image is temporally intact - no event will be missed, even if it
15 occurs outside the region of interest.

The invention may include any variations, modifications and alternative applications of the above examples, as would be readily apparent to a person skilled in the art, without departing from the scope of
20 the invention in any of its aspects.

CLAIMS

1. A method of compressing a digital image stored at a first resolution in a memory, the image including a
5 background region and a region of interest, the method including the steps of:

reading the background region from the memory at a second resolution, the second resolution being lower than the first resolution;

10 reading the region of interest from the memory at a third resolution, the third resolution being greater than the second resolution;

compressing the background region and the region of interest.

15

2. A method of compressing according to claim 1, wherein compressing the background region and the region of interest includes:

20 compressing the background region using a first level of compression; and

compressing the region of interest using a second level of compression.

3. A method according to either one of claims 1 or 2, wherein the background region is read at a smaller size than the equivalent region in the recorded image.

5 4. A method according to claim 3, wherein the background region is read at less than one quarter of the size of the equivalent region in the recorded image.

10 5. A method according to any one of the preceding claims, wherein the third resolution is the same as the first resolution.

15 6. A method according to claim 1, wherein reading the background region from the memory includes the steps of:

reading the image from the memory at a second resolution,

masking the region of interest in the read image.

20 7. A method according to claim 6, wherein the masking step includes blanking out the region of interest in the read image with data selected to produce the minimum amount of compressed data for that region.

8. A method according to either one of claims 6 or 7, wherein the image is read at a smaller size than the recorded image.

5 9. A method according to claim 8, wherein the image is read at less than one quarter of the size of the recorded image.

10. A method according to any one of the preceding 10 claims, wherein the second level of compression is lower than the first level of compression

11. A method according to any one of the preceding , claims, wherein the second level of compression is such 15 that the region of interest is not compressed.

12. A method according to any one of the preceding claims including the step of converting analogue data into the digital image prior to storing it in the memory.

20

13. A method of decompressing an image compressed by a method according to any one of claims 1 to 12, including the steps of:

decompressing the region of interest;
25 decompressing the background region;

reading the decompressed background region and
region of interest at a fourth resolution;
merging the read decompressed region of interest
with the read decompressed background region.

5

14. A method according to claim 13 including the
step of displaying a re-formed image.

15. A method according to either one of claims 13
10 or 14, wherein the fourth resolution is greater than the
second resolution.

16. A method according to any one of claims 13 to
15, wherein the fourth resolution is the same as the
15 third resolution.

17. A method according to any one of claims 13 to
15, wherein the fourth resolution is the same as the
first resolution.

20

18. Apparatus for compressing a digital image
stored at a first resolution in a memory, the image
including a background region and a region of interest,
the apparatus having:

a video compressor/decompressor for reading the background region and the region of interest from the memory at second and third resolutions respectively, and compressing the background region and the region of
5 interest; and

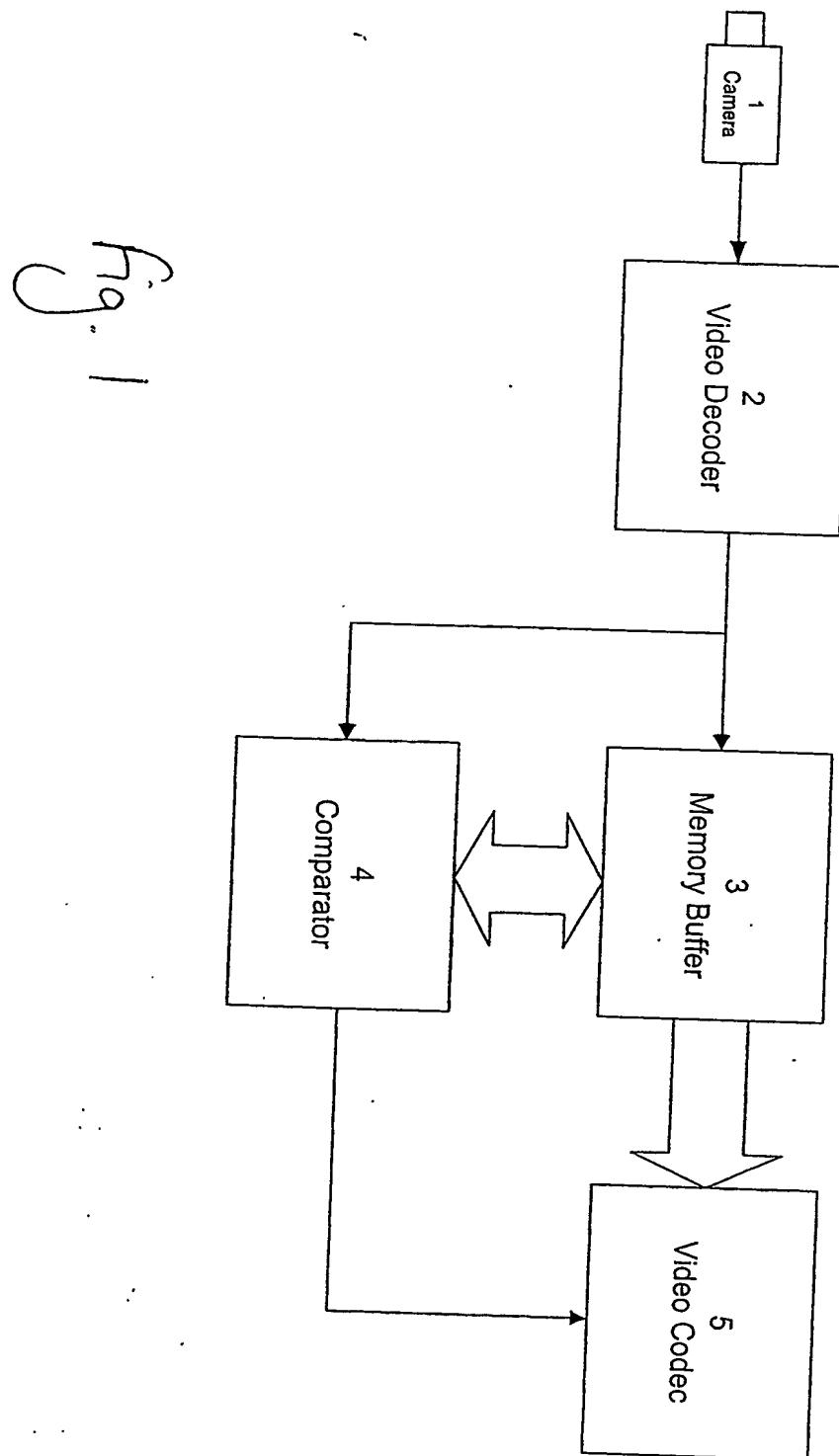
processing means for controlling the video coder/decoder.

19. Apparatus according to claim 18 including input
10 means for capturing the original image.

20. Apparatus according to claim 19, wherein the input means captures the image as analogue data, and the apparatus includes a digitiser to convert the analogue
15 data to a digital image.

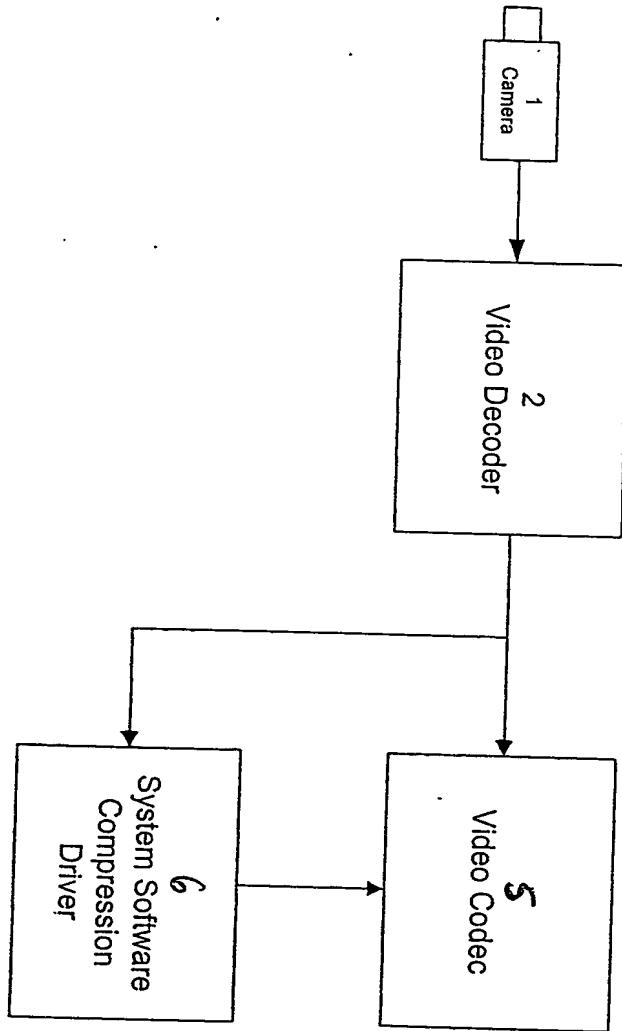
21. Apparatus according to any one of claims 18 to
20 including processing means for decompressing the compressed background region and region of interest,
20 reading them at a fourth resolution, and merging them to form a decompressed image.

22. Apparatus according to claim 21 including display means for showing the decompressed image.



2/5

Fig. 2



3/5

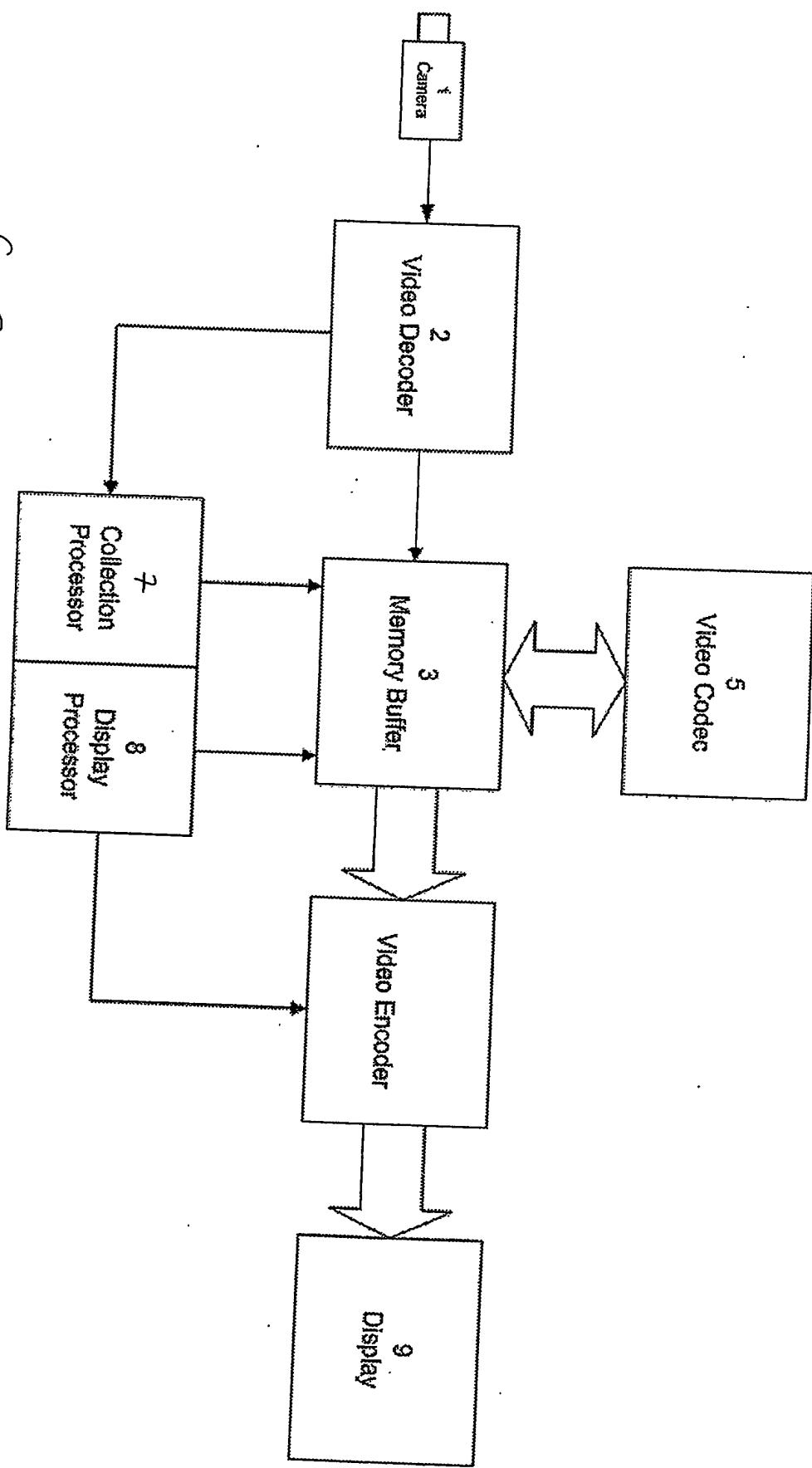
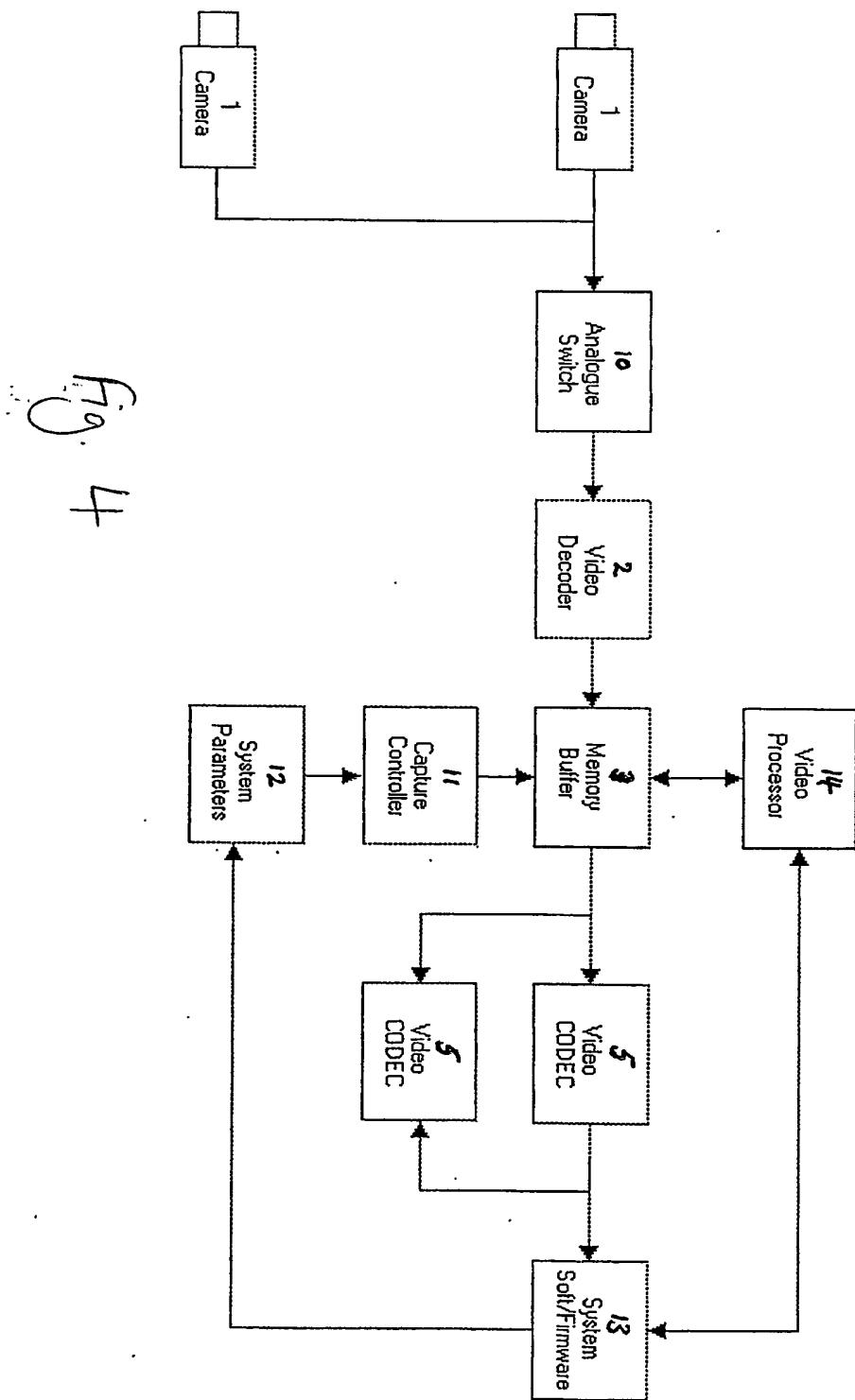
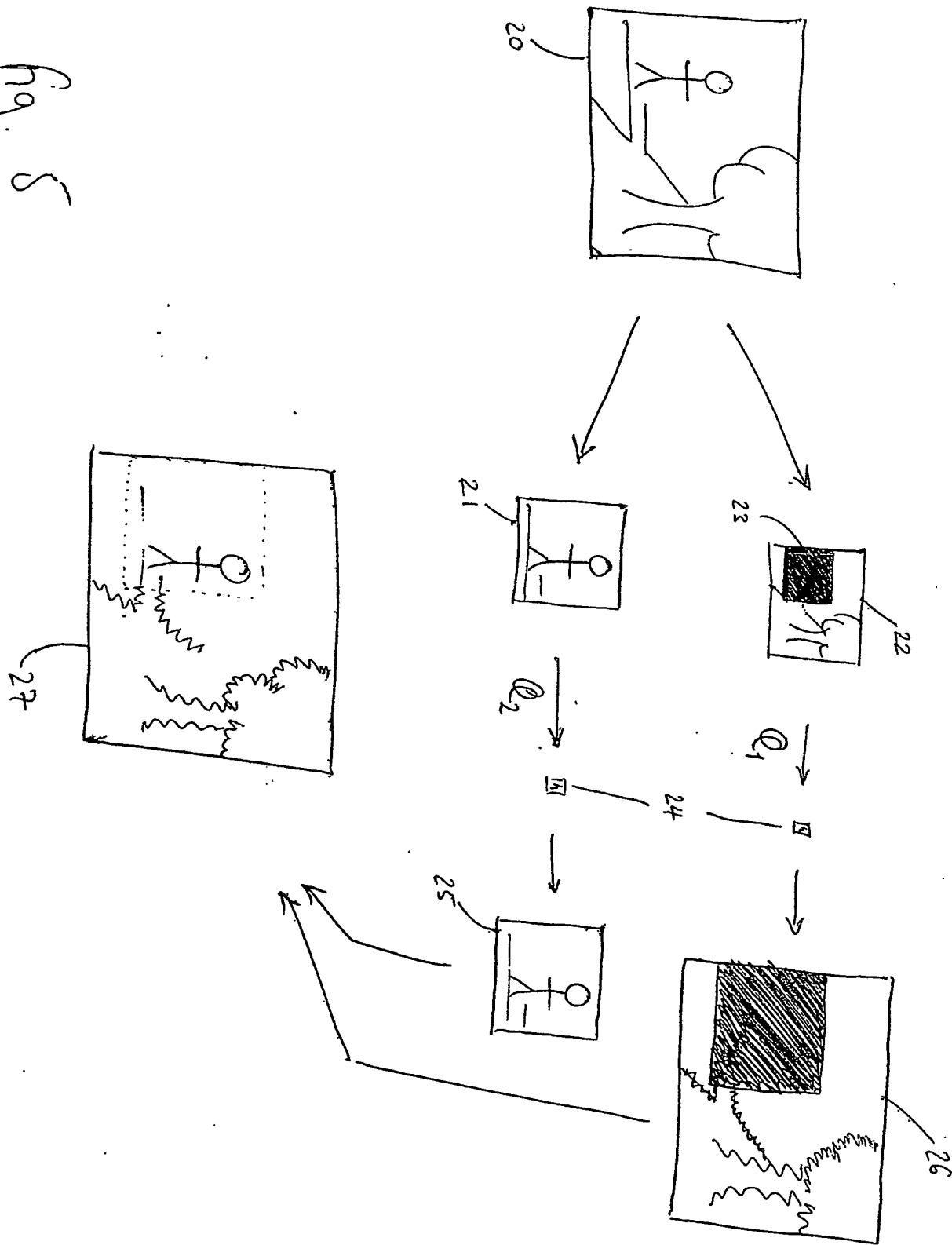


Fig. 3



5/5

Fig. 5



**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

**IMAGES ARE BEST AVAILABLE COPY.
As rescanning these documents will not correct the image
problems checked, please do not report these problems to
the IFW Image Problem Mailbox.**